SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	Requiri (Month	2. Date of Event Requiring Statement (Month/Day/Year) 10/28/2021		3. Issuer Name and Ticker or Trading Symbol <u>Fluence Energy, Inc.</u> [FLNC]							
(Last) (First) (Middle) 4300 WILSON BOULEVARD, SUITE 1100					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give Other (specify			 5. If Amendment, Date of Original Filed (Month/Day/Year) 10/28/2021 6. Individual or Joint/Group Filing 			
(Street) ARLINGTON VA 22203					title below) be		below)			(Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person	
(City) (Sta	ate) (Zip)	Table I A				ofic					
1. Title of Security (Instr. 4)				eriva	tive Securities Ben 2. Amount of Securities Beneficially Owned (Ins 4)	s 3. Ownership		rship irect direct	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivativ	2. Date Exerc Expiration D (Month/Day/	ate		3. Title and Amount of S Underlying Derivative S 4)			4. Conversion or Exercise Price of Derivative Security		5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date		Title						ount or ober of res
LLC Units of Fluence Energy, LLC		(1)	(1)		Class A Common Stock	58,9	586,695	(1)		Ι	See Footnote ⁽²⁾
1. Name and Addre	ss of Reporting Persor	1*									
(Last) (First) (Middle 4300 WILSON BOULEVARD, SUITE 11											
(Street) ARLINGTON	22203										
(City)	(State)	(Zip)									
1. Name and Address of Reporting Person [*] AES Grid Stability, LLC											
(Last) (First) (Middle) 4300 WILSON BOULEVARD, SUITE 1100											
(Street) ARLINGTON	VA	22203									
(City)	(State)	(Zip)									

Explanation of Responses:

1. The LLC Units of Fluence Energy, LLC ("OpCo Units") and an equal number of shares of Class B-1 Common Stock, together are exchangeable at the discretion of the holder for, at the Issuer's election, shares of Class A Common Stock on a one-for-one basis or the cash value thereof, subject to certain exceptions, conditions and adjustments, and have no expiration date.

2. The OpCo Units are held by AES Grid Stability, LLC, which is a wholly owned subsidiary of the AES Corporation.

/s/ The AES Corporation, 02/07/2022 By: Jennifer V. Gillcrist, Assistant Secretary /s/ AES Grid Stability, LLC, By: Pablo Fekete, 02/07/2022 Secretary ** Signature of Reporting

Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.